

FACULTY

Professor Emil Chan is a FinTech evangelist, digital transformation consultant and startup mentor with extensive experience encompassing cross-border financial systems design and operations in the banking industry. He has also taught as an adjunct professor and visiting lecturer at various local higher institutions. Resourceful and multifaceted, Professor Chan is the Founding Chairman of the Association of Cloud and Mobile Computing, FinTech Committee Chairman of Smart City Consortium, Hon Chairman of StartHK, Hon Secretary of Invotech, Vice Chairman of HK New Emerging Technology Education Association, Vice Chairman of HK Internet Finance Association, as well as the Convenor of the Institute of Compliance Officers.

Dr Kenny Siu is the senior advisor of Allied Environmental Consultants Limited. He is one of the ESG pioneers in Hong Kong. Dr Siu obtained a professional diploma in building surveying from the Hong Kong Polytechnic University, a master's degree in business administration from the University of South Australia, and a doctorate degree in economics from Jiangxi University of Finance and Economics. He is a member of the Chartered Institute of Housing Managers and a professional member of the Royal Institution of Chartered Surveyors.

Mr Patrick Tsoi is the project leader of the Greater Bay Area Green Finance and ESG forum. He is currently a Doctor of Education candidate in Hong Kong Baptist University. He has 20+ years' working experience in the IT training area. His work includes complex projects applying data science, and software development to different aspect of value chains as well as participating with research teams, in fields such as Green Finance, Data Science, and Talent Management.

Ms Zonta Yung is the project-in-charge of sustainability solutions at SGS Hong Kong Limited (SGS). She helped SGS develop ESG Reporting Solutions in 2015 and has more than 6 years of experience in managing and executing over 100 ESG reporting projects. These include stakeholder engagement and materiality assessment, ESG reporting tool development, briefing, ESG data review and reporting, etc. She has been sharing her knowledge and experiences since 2015 via public and in-house training as well in seminars and through various publications.

Mr Conan Lee has a strong background in applying carbon quantification and verification protocol. Since joining SGS in 2010, Conan has been involved in many of the company's carbon related projects. Over the past 10 years, Conan has successfully helped various organizations establish their carbon inventory, as well as with their products and events. He has been actively engaged in numerous carbon audit or verification projects according to different local or internal verification schemes.

Mr Alan Cheung is an experienced practitioner in the field of sustainability for over 20 years. He started his career in the area of sustainability related to the manufacturing sector and supply chain of retailing business. He has also gained substantial exposure in the management and auditing of sustainability related programs, and is actively pursuing the latest knowledge and skills on sustainability. He has also been following closely the HKEx ESG Reporting Guide since 2015.

Mr Gary Kwan is a veteran professional in the finance market with 40 years of hands-on experience. He started his career in 1979 and has worked in almost every position in major finance companies including Mansion House Securities Ltd, Wardley Financial Services Ltd, HSBC Broking Services (Asia) Ltd, Grand Cathay Securities (HK) Ltd, KGI HK Ltd, and Yue Xiu Securities Holdings Ltd. Over the years, he has served in companies with capital from local, international, Taiwan and Mainland China investors and has gained a deep understanding and appreciation of different business cultures and practices.

Mr Joe Leung is the Head of Corporate Finance and Responsible Officer of a licensed corporation carrying Type 6 activity under section 126 of the Securities and Futures Ordinance (SFO). He is currently an independent non-executive director of two listed companies in Hong Kong, responsible for risk management and internal control system. In his previous roles, he has been an executive director of a main-board-listed company mainly overseeing the investment functions, structuring capital reorganization and transactions under the Listing Rules. He had also assumed the role of Chief Financial Officers for a number of companies listed in Hong Kong, Korea and company in Initial Public Offering (IPO) in HKEx.

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EDESG 2021-1

Diploma Programme



Executive Diploma in Sustainability, ESG and Green Finance

可持續發展、環境、社會、企業管治與綠色金融
專業行政文憑課程



SUPPORTING ORGANIZATIONS



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The Hong Kong Management Association
香港管理專業協會

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可持續發展、環境、社會、企業管治與綠色金融專業行政文憑課程

INTRODUCTION

In the 21st Century, Sustainability, Environmental, Social and Governance (ESG) and Green Finance have become the hottest topics in global economic growth. To reduce climate-related risks and supporting the transition to a low-carbon and sustainable world are our most significant global challenges. Talents and professionals in these areas are in high demand across the world now. In view of these, this course aims to provide a comprehensive study and career enhancement opportunities for professionals in understanding the full spectrum of Sustainability and Green Finance. Participants will be equipped with the knowledge of internationally recognized standards and practices.

WHY STUDY THIS COURSE?

This course is designed for:

- Executives and staff who will deal with ESG, Compliance, Regulations and Green Investing.
- Legal staff, Compliance Officers, Securities Staff, Accountants, Financial Analysts, Fund Managers, and Investors who want to know more about Sustainability and Green Finance.
- Executives, Compliance officers and ESG project team members in Hong Kong listed companies.
- Anyone who is interested in Sustainability and Green Finance.

SATISFACTORY COMPLETION OF A MODULE / AWARD

A participant who has maintained a minimum of 70% attendance of total lecture hours of each module; AND passed (obtained at least 50%) all the required assessment(s) of each module will be awarded an Executive Diploma in Sustainability, ESG and Green Finance by The Hong Kong Management Association.

SUPPORTING ORGANIZATION

(Organization names in alphabetical order)

1. Kenny Siu Surveyor & Co
2. SGS Hong Kong Limited
3. The Association of Cloud and Mobile Computing Professionals
4. The Greater Bay Area ESG and Green Finance Forum
5. The Institute of Compliance Officers of Hong Kong

FEE

HKMA Member: HK\$13,500
Non-member: HK\$14,400

LANGUAGE MEDIUM

The programme will be delivered in Cantonese, and the course material will be in English.

ENQUIRIES

For this course details:

Ms Christine Choy: 2774 8517

Email: christinechoy@hkma.org.hk

General Enquiry / Membership / Enrolment: 2774 8500 or 2774 8501

Email: hkma@hkma.org.hk

www.hkma.org.hk/pd/edesg

SYLLABUS

Module 1: Green Finance, Sustainability and ESG

Contents

- 1. Introduction to ESG and Sustainability**
 - a. Definition of ESG and how ESG related to sustainable finance
 - b. Importance of Sustainability
- 2. Introduction to Green Finance**
 - a. Green Finance in Hong Kong and other parts of the world
 - b. Green Investing
- 3. ESG applications in FinTech and RegTech**
 - a. Application of ESG in FinTech
 - b. RegTech and Compliance
- 4. ESG applications in Supply Chain Management and Business**
 - a. Application of ESG in Supply Chain Management
 - b. Impact of ESG in Business Operations
- 5. Case Studies**
 - a. ESG Reporting for Hong Kong Listed companies
 - b. Non-listed companies and SMEs

Module 2: Preparation Guide for ESG Reporting

Contents

- 1. Introduction of ESG trend and commonly used ESG reporting guidelines / standards**
 - a. The definition of ESG
 - b. The drivers of ESG
 - c. A highlight of HKEx ESG Reporting Guide
 - d. A highlight of GRI Standards
- 2. Work preparation before ESG Reporting**
 - a. Adopting reporting principles
 - b. Identifying reporting boundary
 - c. Engaging your stakeholders
 - d. Conducting materiality assessment
 - e. Conducting ESG risk assessment
 - f. Workshop: Identifying material ESG aspects
- 3. Compilation of ESG report in accordance with HKEX ESG Reporting Guide**
 - a. How to collect data for reporting?
 - b. How to review ESG data to enhance accuracy?
 - c. How to develop report framework?
 - d. Good ESG report sharing
 - e. Group Discussion: Is it a good ESG report?
- 4. Elementary GHG emissions accounting**
 - a. Common carbon emissions source introduction
 - b. Calculation of general carbon emissions source
 - c. Carbon management and carbon reduction practice
 - d. Introduction of carbon audit
- 5. ESG performance assessment**
 - a. Common references to assess ESG performance
 - b. Set target to improve ESG performance
 - c. Prepare for independent ESG report verification
 - d. Role Play: Having an interview with report verifier

Module 3: Compliance, Regulations and Green Investing

Contents

- 1. Licensed Corporations and Regulated Activities**
 - a. Type of licences and their interactions
 - b. Compliance issue 1: Anti-Money Laundering (AML)
 - c. Compliance issue 2: Suitability
 - d. Other compliance issues
- 2. Listed Companies and Listing Rules**
 - a. Listing Rules and Corporate Governance Framework
 - b. Compliance issue 1: Notifiable transactions
 - c. Compliance issue 2: Delisting and resumption
- 3. Green Investing**
 - a. ESG and Green Investing
 - b. Green finance and green bonds